1 6 AUDITORY, VESTIBULAR AND OCULAR FUNCTION

2 Sensory organs include extremely specialized and finely organized cellular and tissue structures, in 3 direct connection with the CNS. These traits imply that ocular and auditory organs are quite sensitive to external 4 physical agents such as radiofrequency fields, as already depicted in the WHO report (1993). With the broad 5 spread of mobile phones in the last decades, the eye and the ear are subjected to RF emissions including new 6 frequencies and modulation patterns.

7 6.1 Auditory function and vestibular function

8 Intense pulsed RF fields can be perceived as sound. When short duration, high-level RF pulses 9 interact with the head, the subsequent small and fast increase in temperature causes the induction of a thermo-10 elastic wave that, via the temporal bone, is conveyed to the cochlea. Hair cells identify this signal as a normal 11 acoustic stimulus, perceived as a 'buzz, clicking, hiss, or knocking' sound. This is the so-called 'microwave 12 hearing' effect, described in animal and human subjects (Elder & Chou, 2003; Lin & Wang, 2007; Seaman & Lebovitz, 1987). Due to its physical position, the hearing system could be involved in interactions with RF EMF 13 emitted by cellular phones (Parazzini et al., 2007c). The fact that the cochlear sensory structure, and mainly the 14 15 hair cells, are particularly sensitive to various exogenous agents (Hatzopoulos et al., 1999; Henley & Rybak, 16 1995; Wu, Sha & Schacht, 2002), should be carefully considered.

17 6.1.1 Epidemiological studies

The WHO Environmental Health Criteria document on electromagnetic fields from 1993 did not report any epidemiological studies on potential effects of radiofrequency fields on auditory or vestibular function. Since then, a few studies have been published, most of them investigating tinnitus. The search identified 14 epidemiological studies related to auditory function. One of the studies was written in Russian language and could not be evaluated, while of the 13 remaining studies, six did not provide enough information to fully assess the quality and are therefore only briefly described, and three were excluded as they did not fulfil the quality criteria.

25 A cross-sectional study of the prevalence of self-reported symptoms, including tinnitus, among university students in Rafsanjan, Iran, was conducted by Mortazavi and colleagues (Mortazavi, Ahmadi & 26 27 Shariati, 2007). Participants were recruited from two universities in the spring 2005, and 518 participated (75%). 28 Questionnaires included questions about mobile phone use, use of cordless phones, and cathode ray tube (CRT) 29 video display units, as well as potential confounding factors. Students with at least 30 s of mobile phone use per 30 day on average over the last three months were considered exposed, in total 30%. The same definition was used 31 for cordless phone use; 36% reported being users of cordless phones. For CRT, the definition of exposed was average use at least one minute per day over the last three months, which was reported by 56%. The prevalence 32 33 of tinnitus was 9.9% of all participants, and no significant differences in the prevalence of tinnitus were found 34 between exposed and unexposed students for any of the exposure sources studied. [No odds ratios were reported 35 and information in tables does not allow calculations of risk estimates. It is unclear if potential confounding was 36 appropriately evaluated. The cross-sectional design does not allow assessment of whether the investigated 37 exposures preceded tinnitus development.]

38 A hospital based case-control study of mobile phone use and acute and chronic tinnitus was conducted 39 by Hutter and colleagues (Hutter et al., 2010) at the Ear-Nose-Throat department of the Medical University of 40 Vienna, Austria. Patients aged 16 to 80 years were recruited consecutively as they visited the clinic from 41 November 2003 to November 2004. Patients were excluded if they had diseases of the middle ear, post middle 42 ear surgery status, retrocochlear disease, severe psychiatric and systemic diseases, medication with drugs that can influence tinnitus, or an underlying disease such as hypertension, noise-induced hearing loss. Controls were 43 44 outpatients at the same clinic (e.g. phoniatric patients without speech disorders and without myognathic 45 problems, acute laryngitis, patients about to have a tonsillectomy, acute pharyngitis), and were matched to cases 46 on age, sex and ethnic group. Participation rates were 96% among cases and 93% among controls. In total, 100 47 cases and 100 controls were interviewed. About half of the cases had chronic tinnitus (i.e. that had lasted more 48 than 3 months). Mobile phone use was assessed using a paper version of the Interphone questionnaire. Exposure 49 was assessed up to the date of first occurrence of tinnitus and the corresponding date for matched controls. 50 Unexposed was defined as never use of a mobile phone (for intensity of use) and never use or use <1 year for 51 duration of use. Categorization of the exposure was made according to the median level among controls. In 52 some, but not all analyses, the categorization was based on the distribution among controls with ipsilateral phone 53 use. Having ever used a mobile phone was associated with an odds ratio of 1.86 (95% CI 0.74-4.65). Results for

54 ipsilateral and contralateral use were of the same magnitude, although both were lower than the overall result; 55 OR=1.37 (95% CI 0.73-2.57) for ipsilateral and 1.31 (95% CI 0.65-2.44) for contralateral use, respectively. This 56 pattern was seen for all exposure indices except for years of mobile phone use. The overall OR for >4 years of mobile phone use was 1.26 (95% CI 0.63-2.50), for ipsilateral use the OR was 1.95 (95% CI 1.00-3.80), while 57 58 the corresponding result for contralateral use was 0.91 (95% CI 0.39-2.09). [The exposure definition had no 59 lower limit for the amount of mobile phone use required to be classified as exposed. Retrospective reports of 60 mobile phone use are prone to potential reporting errors, and for conditions that affect hearing ability, reporting side of the head where the phone was held prior to disease onset is especially problematic. It is unclear if the 61 62 same exclusion criteria were applied to both cases and controls. No information was available on exposure to 63 loud music in portable players, which might be related to both mobile phone use and to risk of tinnitus.]

In a cohort study performed in 2008–2009 in Switzerland by Röösli and coworkers (Frei et al., 2012; 64 Röösli, Mohler & Frei, 2010), 1375 participants returned a baseline questionnaire (37% of contacted). One year 65 later, 1122 participants (82% of baseline responders) answered a follow-up questionnaire with regard to changes 66 in perceived health, measured through questionnaire-based scales and also including questions about tinnitus, as 67 well as updated information about far-field and near-field RF exposure. Exposure to RF fields was calculated 68 69 based on a validated method including information on RF transmitters and base stations, building characteristics 70 of homes and amount of time the subject spent indoors, as well as use of mobile and cordless phones. Exposure 71 to RF fields was also estimated as self-reported mobile and cordless phone use and operator recorded mobile 72 phone use. In addition, participants were asked to rate if their personal exposure situation was lower, the same, 73 or higher than the average Swiss population. The study found no association between any of the RF exposure 74 estimates and tinnitus. [The statistical power of the study is limited due to the low number of new cases with 75 tinnitus with only one year of follow-up].

A study of mobile phone use and hearing loss in children was conducted based on data from the 76 Danish National Birth Cohort (Sudan et al., 2013), including 52 680 children born between 1996 and 2002. The 77 78 data are part of a cohort of 91 661 women enrolled during pregnancy, although the analyses of hearing loss are 79 based on cross-sectional data collected when the child was 7 years old. The child's mobile phone use was assessed through one question in a web-based questionnaire: "Does your child use a mobile phone? (text messages do not count)", with answer alternatives "No, never", "Yes, but less than one hour per week", and 80 81 82 "Yes, more than one hour per week". The outcome, permanent hearing loss, was also self-reported in the same 83 questionnaire: "Does your child have permanent hearing loss?"; 1.6% reported hearing loss at age 7 years. In an 84 earlier interview when the child was 18 months, mothers were asked about the child's reduced hearing, at which 85 time 2.7% reported reduced hearing. However, only 6% of the children with reduced hearing at age 18 month 86 were also reported to have permanent hearing loss at age 7 years. Analyses were made using three different 87 methods; logistic regression, marginal structural models (MSM), and a combination of logistic regression and 88 MSM. Adjustment was made for maternal factors during pregnancy (mobile phone use, alcohol use, smoking, 89 fever), socioeconomic status, breast feeding, ear infection by age 18 months, sex, gestational age, and reduced 90 hearing at 18 months. In total, 36% of the 7-year old children reported to use mobile phones, but less than 1% 91 used them more than one hour per week. In the analyses of hearing loss, mobile phone use was dichotomized. 92 All three types of statistical methods gave similar results, a slightly increased risk of hearing loss among children 93 who had used a mobile phone, with borderline statistical significance (e.g. the OR with the traditional logistic 94 regression model was 1.21; 95% CI 0.99–1.46). [Very crude exposure assessment; the exposed group may 95 include a large proportion of children who have used a mobile phone only occasionally, as may the unexposed 96 group because of the large difference between unexposed and lowest exposed answer alternative ("no, never" 97 and <1 h/week (the highest was >1 h/week), with no answer alternative in between). Hearing loss in the child as 98 self-reported by parents may also be subject to considerable misclassification, which may not be random. The 99 cross-sectional design does not allow determination of the temporality of the association, thus it is unknown if the hearing loss was already present when the child started to use a mobile phone. Analyses were not adjusted 100 101 for potential co-morbidity which may be related to [perceived] hearing loss, and may cause parents to provide 102 their child with a mobile phone for easy contact, e.g. recurring inner ear infections until age 7, severe asthma. 103 Control of potential confounding from loud noise exposure, e.g. through portable music players, was not made.]

104 Studies with insufficient information for assessment of inclusion criteria

105 Six studies below recruited subjects in a way that does not allow calculation of participation 106 proportions or assessment of potential selection bias. They are briefly described, but results are not included in 107 the table, and they are given no or little weight in the overall assessment.

108 Oktay and colleagues (Oktay et al., 2004) performed a cross-sectional study in Turkey of people 109 working in a 1062 kHz medium wave broadcasting station and living in employee residential houses near the station. All employees at one media broadcasting station volunteered to participate in the study. Persons with ear 110 111 diseases (n=5) were excluded from the study, leaving 28 men in the exposed group for further testing. A control group of 28 men were age-matched to the exposed group, but information about how they were selected, 112 113 participation proportion, or type of workplaces is not given, and prevalent ear diseases in the control group is not 114 reported. RF-E fields in the exposed workplace were on average 4.04 V/m, and power density 0.063 W/m². The average noise level in the broadcasting station was 70 dB. In the exposed homes the E-field was between 0.48 115 116 and 2.86 V/m and the power density 0.001-0.023 W/m². For the unexposed group, workplace and home 117 measurements were not reported separately; the E-field was reported to vary between 0.74 and 2.00 V/m, and the power density between 0.0000 and 0.011 W/m². Noise levels at the workplaces of the control group were not 118 119 reported. Brainstem Evoked Response Audiometer (BERA) and Pure Tone Audiometry (PTA) were used to measure hearing functions of participants. BERA records brainstem responses to clicks in the ear, and PTA 120 measures the hearing threshold. No difference between the exposed and unexposed group in BERA recordings 121 were found, whereas hearing thresholds at 4000 Hz and 8000 Hz were significantly higher in the exposed group. 122 123 [It is not possible to assess if the control group is comparable to the exposed group with regard to other risk factors that might affect hearing, as no information is given about the control group. Confounding from loud 124 125 noise may be an explanation for the observed finding, given an average noise level of 70 dB in the exposed 126 group and the fact that noise exposure typically causes hearing damage in the frequency range between 4000 and 127 8000 Hz. These limitations make the study uninformative.]

128 A cross-sectional study of mobile phone use and problems with hearing and vision (discussed in the 129 next section) was conducted in Saudi Arabia by Meo and colleagues (2005). The study included 873 volunteers 130 (498 males and 348 females, 27 unknown sex) from the College of Medicine, King Saud University and from different areas of Riyadh. The age range was 18-46 years. [No information was provided on how participants 131 were recruited, or participation rate.] Through a structured questionnaire, either as self-completed or through 132 133 interviews, information was collected about general physical characteristics [age, sex], medical history, and 134 amount and duration of mobile phone use. Chi-square test was used to assess differences in the distribution of hearing complaints according to amount of mobile phone use. Hearing complaints were measured as impaired 135 hearing, ear ache and/or warmth on the ear, and was reported by 34.6% of participants, with no significant 136 137 association with average total daily duration of mobile phone calls. [It is unclear if persons were randomly 138 selected, or if a source population was defined. No control of confounding was made.]

139 Kerekhanjanarong and colleagues (Kerekhanjanarong et al., 2005) conducted a cross-sectional study 140 at the Department of otolaryngology, King Chulalongkorn Memorial Hospital in Bangkok, Thailand, Participants 141 underwent hearing evaluations between August 2001 and April 2003 (audiometry, tympanometry, otoacoustic 142 emission, and auditory brain stem response). In total 112 persons were included [no information was provided 143 about selection procedures or participation rate], and all were mobile phone users. The 14 persons who used the 144 mobile phone on both sides were excluded from analysis, and for the remaining 98 subjects results of the hearing 145 tests for the ear where the mobile phone was held ("dominant ear") was compared to results for the other ear ("non-dominant ear"). Results were presented as mean, SD and range. Analyses included 31 males and 67 146 females, mean age was 30.5 ± 9.5 years. Participants used a mobile phone on average 26.3 ± 30.9 min per day, 147 range 3-180 min, 57 on the right side, 41 on the left. No significant differences were found between the 148 dominant and non-dominant ear. The 8 persons who used a mobile phone more than 60 min had a "worse" 149 150 hearing threshold on the dominant side, but no statistics were presented, as the number of subjects was too small. 151 The cross-sectional design is particularly problematic in this study as hearing problems may affect choice of ear 152 for mobile phone use. No control of confounding was made. Participant selection was not described, and the 153 source population not defined.]

154 Oktay and Dasdag (2006) conducted a cross-sectional study on mobile phone use and hearing 155 function. They recruited male study participants into three groups; 20 who had used a mobile phone approximately 2 h/day during 4 years, 20 who had used it 10-20 min per day during 4 years, and 20 who had 156 157 never used a mobile phone. Hearing function was estimated through brainstem evoked response audiometric 158 (BERA) and pure tone audiometric (PTA) measurements, and participants answered questions about various 159 symptoms such as headache, difficulties concentrating, discomfort, warmth behind/around ear. No differences between groups were found for BERA measurements, while the group with heaviest users had higher detection 160 161 thresholds than either moderate or non-users. The heaviest users also had a higher prevalence of symptoms than 162 the other groups. [No information is provided on how subjects were selected, and it is impossible to assess whether the three groups are comparable with regard to other factors that might affect the outcome. The 163

164 prevalence of symptoms among the heaviest users was very high (35–55% for the various symptoms), which 165 indicates that they may not be representative.]

166 A cross-sectional study of mobile phone use and prevalence of problems with hearing, tinnitus, or 167 balance was conducted by Davidson & Lutman (2007). Study participants were recruited among postgraduate 168 students at 16 departments of the University of Southampton, UK. Exclusion criteria were age over 30 years, any 169 ear operation, or ear disease within the last 12 months. Electronic questionnaires were returned by 160 students, 170 of whom 43 were excluded according to the exclusion criteria. Participation proportions could not be calculated 171 as the number of students who initially received the questionnaire was unknown. A small survey was performed 172 to assess the representativity of the participants by interviewing most postgraduate students present in the University cafeteria on a weekday lunchtime. About the same proportion of students in the small survey had 173 never used a mobile phone (1.9%) as in the full study (1.7%). Hearing, tinnitus and balance were measured 174 through validated questionnaires, were answers were given in five categories characterizing the degree of 175 annoyance/severity of the outcome. The mean outcome scores were compared between exposed and unexposed 176 groups. Mobile phone use was measured as time since first use, number of times per day, and total amount of 177 time per day, with no distinction between calls and text messages. No significant differences between exposure 178 179 groups were found for any of the measured outcomes. [No adjustment was made for any potential confounders. 180 Participants may not be representative for all eligible students. No rationale for choice of exposure categories is given. The cross-sectional design does not allow assessment of whether the investigated exposures preceded the 181 182 investigated outcomes.]

183 Kahn and colleagues conducted a cross-sectional study of adverse effects of excessive mobile phone use among second year medical students at King Saud University, Riyadh, Saudi Arabia (Khan, 2008). 184 Questionnaires were distributed to 330 students [not specified how they were selected], and was returned by 286 185 (86.6%), 211 men and 75 women. All participants were mobile phone users. The questionnaire asked about 186 187 various perceived symptoms, including also hearing problems, but also about the awareness of the health problems caused by mobile phones. The authors' goal was to "contribute to increasing social awareness of the 188 health problems associated with the use of these devices" [mobile phones]. Hearing problems were reported by 189 14% who used a mobile phone <30 min/day, 33% among users for 30-60 min/day, 45% among users for 60-90 190 191 min/day, and 15% among those who used a mobile phone \geq 90 min/day. No control of confounding was made. 192 [Selection procedure is insufficiently described. Responses may have been affected by the predetermined 193 message in the questionnaire that mobile phone use causes health effects.]

| Table 6.1.1. Epidemiological studies of hearing | | | | | | | |
|---|--------------------------|--|---|--|--|--|--|
| Outcome | Country Time period | Study population Design | Exposure | No. exp cases | Odds ratio (95% Cl) | Comments | Reference |
| Tinnitus | Iran 2005 | 518 university students Cross-sectional study, all apparently healthy students at two universities | Mobile phone use >30s/day Cordless phone use >30s/day CRT VDU use >1min/day | Not given | Not reported No significant differences for any of the exposure sources | Probably no adjustment for confounding | Mortazavi et al. (2007) |
| Tinnitus | Austria 2003–2004 | 100 cases, 100 controls Hospital based case- control study | Mobile phone use Ever/never 1-3 years ≥4 years <160 cum. h ≥160 cum. h <4000 no. calls ≥4000 no. calls | 84 18 49 29 55 32 52 | 1.86 (0.74-4.65) 0.76 (0.35-1.68) 1.26 (0.63-2.50) 1.60 (0.61-4.22) 2.25 (0.82-6.16) 1.93 (0.72-5.20) 1.80 (0.69-4.72) | | Hutter et al. (2010) |
| Tinnitus | Switzerland 2008–2009 | 1122 persons Population based cohort study | Mobile phone use Environmental RF | | Only reported in figure, no associations | | Röösli et al. (2010) Frei et al. (2012) |

194

195 Excluded study

196 Landgrebe et al. (2009)

197 6.1.2 Volunteer studies

WHO (1993) reported about human volunteer studies that had explored auditory perception of RF pulses. This auditory effect, often called "microwave hearing" was noted to depend on the total energy of each individual pulse. The mechanism of this hearing phenomenon is explained in Chapter 3.5. No volunteer studies reported in WHO (1993) were concerned with other effects on the auditory system or with effects on the vestibular or ocular functions.

The literature search for newer volunteer studies on effects of RF exposure on the auditory, vestibular 203 204 and ocular functions resulted in 24 relevant papers. Of these, 12 papers, representing 11 studies, were excluded 205 because exposure conditions were not blinded to the participants or the study did not include two or more 206 exposure levels (whereof one could be a sham) under otherwise similar conditions; these studies are listed at the end of this section. Therefore, 12 studies are included in the review. Two of the identified studies (Colletti et al., 207 208 2011; Stefanics et al., 2007) had uncertainties releted to the includion criteria and are not included in the table 209 but are briefly discussed at the end of the section. All studies reviewed here explored possible effects of mobile 210 phone signals on the auditory system. In addition, one study also tested effects on the vestibular system.

211 The interest over the last decade in effects on the hearing function is due to the close proximity between the mobile phone during a call and the hearing sensory organ, the cochlea. In addition to testing hearing 212 thresholds by pure tone audiometry, the functioning of the outer hair cells of the cochlea and auditory brainstem 213 responses have been assessed. The outer hair cells are crucial for normal hearing. These sensory cells move in 214 215 response to sound stimulation and thereby amplify the waves propagating in the cochlea. The motions of the outer hair cells also produce sound, so called otoacoustic emissions, which can be recorded in the ear canal. The 216 otoacoustic emissions reflect the functional state of the outer hair cells and have been used to assess effects of 217 RF exposure on hearing. Two types of methods are applied: distortion product otoacoustic emissions that are 218 produced by stimulating the hair cells simultaneously with two nearby frequencies and transient evoked 219 otoacoustic emissions that are responses to acoustic stimuli of very short duration, e.g. clicks. During sound 220 221 stimulation, responses of cochlear hair cells cause potentials to be elicited in the acoustic nerve and nuclei. These 222 potentials are recorded as auditory brainstem responses and their amplitudes and time delays provide information 223 about cochlear and higher order auditory sensitivity and functions.

Table 6.1.2 by the end of this section summarizes the results of each study and provide information about their methods. Similar information is included in the following text, with the exceptions that the use of double-blind design, meaning that neither participant or researcher was aware of the exposure conditions, is usually not reported in the text. Comments about particularly small samples sizes are made since the smallest samples are attached with highest uncertainties provided other study details are similar. Exposure was controlled in all studies that are included in the analysis. If SAR was provided, it is specified in both tables and text. Otherwise, output power along with other details of exposure setup is provided.

231 Studies with healthy adult volunteers

In a single blind study Janssen et al. (2005) investigated whether GSM-like 900 MHz signals may interfere with the mobility of outer hair cells by recording distortion product otoacoustic emissions. The signal was emitted by a monopole antenna positioned 5 cm from the ear. The intervals between the pulses of the signal were about 24 ms, six times longer than that applied for the GSM 900 MHz signals. The peak output power of the pulses was 20 W [10 times higher than the maximum peak power of GSM 900 MHz phones] and the mean

237 output power was 0.465 W resulting in a SAR of 0.1 W/kg [averaging mass not specified]. Twenty eight 238 volunteers participated in 12 tests with different combinations of sound frequencies and levels. Each test lasted 239 for 24 minutes and consisted of eight alternating 3-minute periods of RF and sham exposures. The tests started with real exposure for 14 participants and with sham exposure for the other 14 participants. The tests were 240 "broken up into multiple sessions". Since the pulses would interfere with the recorded otoacoustic signals, the 241 242 otoacoustic emissions were recorded during in the intervals between the pulses. When comparing sham and RF 243 exposures, there was no statistically significant difference in the otoacoustic emission levels for any of the 12 244 acoustic stimulus conditions. When splitting the analyses between genders, one of the 12 stimulus conditions 245 exhibited a difference between the RF and sham exposures (p < 0.05, exact value not provided) for females. 246 When data from all tests and participants were included, the mean differences between sham and RF exposure 247 was close to zero (0.0049 dB sound pressure level), and the individual test results were almost symmetrically 248 distributed between -2.5614 and 2.0767 dB sound pressure level. [These results, together with the fact that no 249 corrections were made for multiple testing suggest that the single statistically significant finding is most likely 250 due to chance.]

251 As parts of two consecutive European projects, volunteer studies were performed in various laboratories to test the effects on the auditory function of mobile phone signals combined with a speech signal at 252 253 60 dB(A) to mimic real exposure conditions (Paglialonga et al., 2007; Parazzini et al., 2005; Parazzini et al., 2007a; Parazzini et al., 2009; Parazzini et al., 2010; Uloziene et al., 2005). The respective reported SARs were 254 255 measured approximately at the location of the cochlea. In all laboratories the studies were performed double 256 blind with sham and RF exposure sessions on separate days. The order of exposures was designed to be 257 counterbalanced, which was not always completely achieved due to an odd number of participants. To analyse potential effects of exposures, shifts in the endpoints from before to immediately after exposure were used as 258 parameters. While the basic criterion for significance was set to 0.05, Bonferroni adjustment to the criterion was 259 applied for multiple comparisons when any test resulted in p < 0.05. 260

In the first European project (Paglialonga et al., 2007; Parazzini et al., 2005; Parazzini et al., 2007a; 261 Uloziene et al., 2005), half of the participants were exposed to a GSM 900 MHz signal and the other half to a 262 263 GSM 1800 MHz signal. During exposures the mobile phone was positioned against the ear that was tested for hearing functions. The same model of a commercial mobile phone was used in all studies and was set to transmit 264 at maximum output power. SAR_{1g} recorded in a position corresponding approximately to that of cochlea (30 mm 265 from the surface) was 0.41 W/kg for the 900 MHz exposure and 0.19 W/kg for the 1800-MHz exposure 266 267 (Paglialonga et al., 2007; Parazzini et al., 2005; Parazzini et al., 2007a; Uloziene et al., 2005). Sham exposures 268 were obtained by connecting a load to the phone so that the RF signals were dissipated to the load instead of 269 transmitted to the antenna. Uloziene et al. (2005) recorded hearing thresholds by pure tone audiometry and 270 transient evoked otoacoustic emissions in 30 volunteers. No evidence for any effect of the EMF exposures was 271 found. To ensure high sensitivity, Parazzini et al. (2005) explored potential effects on primary distortion and 272 reflection mechanisms involved in producing distortion product otoacoustic emissions. Effects of time of 273 exposure, exposure condition and interaction between these were analysed. The shift in distortion product 274 amplitude from before to after exposure was statistically significant for the interaction term (p = 0.036) for one 275 of the three acoustic stimulus conditions applied. After correction for multiple testing, the result was not 276 statistically significant. None of the other four endpoints exhibited any statistically significant result. Because of 277 the low number of participants, only six for each of the two RF exposure conditions, the probability to reveal an 278 effect, if any existed, was low. Parazzini et al. (2007a) made a pooled analysis with data from several 279 participating centres, which included in total 134 volunteers. Pure tone hearing thresholds, distortion product 280 otoacoustic emissions, transient evoked otoacoustic emissions and auditory brain stem responses were assessed with a varying number of participants (20-118) for the different endpoints. The probability to detect a 1 dB 281 difference was calculated to be 80% with the maximum number of participants. For pure tone audiometry at one 282 of the frequencies (500 Hz), the shift in hearing threshold differed between RF and sham exposure (about 2.5 283 284 $dB_{1}^{1} p = 0.008$). When analysing the two exposure frequencies separately, statistical significance only remained 285 for the 900 MHz exposure (p= 0.007). Shift in distortion product levels differed between sham and RF exposure for two out of 12 tested acoustic stimulus conditions, with about 2.2 dB (p = 0.023) and 1.2 dB (p = 0.015), 286 respectively. After separate analyses for the two exposure frequencies a significant difference (p = 0.024) 287 remained for one of the acoustic stimulus conditions and only for the GSM 1800 MHz exposure. No effect of 288 289 exposure was observed for transient evoked otoacoustic emissions. Auditory brain stem responses were recorded 290 using condensation, rarefaction and alternating polarity stimuli in the form of broadband bursts at two different

¹ The dB-values provided for the studies in the European projects (Parazzini et al., 2007a; Parazzini et al., 2009; Parazzini et al., 2010) were read from diagrams in the publication.

291 repetition rates. Analysing wave V amplitudes and latencies shifts from before to after exposure, no statistically 292 significant effect of exposure was obtained. To test the ability of the auditory nerve to sustain high response 293 rates, wave V amplitudes in response to the lowest and highest stimuli rates (33.1 and 74.1 clicks per second, 294 respectively) were compared. A statistically significant difference between RF exposure at 900 MHz and sham 295 (p = 0.045) was obtained when applying the condensation polarity. However, no finding in this study was 296 statistically significant after correction for multiple comparisons. Paglialonga et al. (2007a) tested possible 297 effects on the temporal and spectral features of transient evoked otoacoustic emission fine structure. Based on 298 data from 27 volunteers, no indication of any effect of the exposures was observed.

299 In the second European project (Parazzini et al., 2009; Parazzini et al., 2010) the participants were exposed for 20 minutes to signals from a UMTS mobile phone. Parazzini et al. (2009) reported a multicentre 300 study with 74-134 volunteers participating in the different auditory tests: pure tone audiometry, distortion 301 302 product otoacoustic emissions and contralateral suppression of transient evoked otoacoustic emission. As in the previous project, the mobile phone was positioned against the ear that was tested for hearing functions and sham 303 exposure was obtained by connecting a load to the phone so that the RF signals were dissipated to the load 304 305 instead of transmitted to the antenna. The hearing threshold at 500 Hz and the average threshold for 2-8 kHz 306 increased more after UMTS than sham exposure, about 1.2 dB (p = 0.02) at 500 Hz and 0.6 dB (p = 0.03) in the 307 high frequency range. These results were not statistically significant when applying an adjusted criteria for significance due to multiple comparisons (p < 0.004). No effect of exposure was indicated for any other 308 endpoint. Although the UMTS phone was operated at full power, the SAR at the position of cochlea was fairly 309 310 low (0.069 W/kg). Parazzini et al. (2010) conducted a similar study but with higher exposure level (SAR_{1g} 20 311 mm from the surface: 1.75 W/kg) obtained by amplifying the signals from the UMTS phone and emitting them by a patch antenna positioned against the test ear. The number of participants varied between 25 and 57 in the 312 various auditory tests. When comparing sham and UMTS exposure, the difference in shift of distortion product 313 otoacoustic emission level was about 1.4 dB (p = 0.045) for one of the acoustic test conditions, which was not 314 statistically significant after correction for multiple testing with significance criterion p < 0.001. No indication of 315 316 any effect of exposure was observed for the other endpoints.

The aim of a study by Stefanics et al. (2008) was to investigate potential effects of UMTS mobile 317 phone exposure on event-related brain potentials during an auditory task (see Section 5.2.2.1). They also 318 319 measured hearing thresholds of the exposed ear by pure tone audiometry for frequencies in the range 250 Hz-8 320 kHz. This was done before the EEG recording that preceded the exposure and after the EEG recording that 321 followed exposure. Thirty six healthy students were exposed for 20 minutes to UMTS signals at levels that were higher than caused by ordinary handset use (SAR_{1g} 30 mm from the surface: 0.39 W/kg). The signals were 322 323 generated by an UMTS mobile phone connected to a patch antenna placed against the right ear. Real and sham 324 exposure sessions were conducted one week apart in counterbalanced order. There was no evidence for any 325 effect of exposure on hearing thresholds.

In a single blind study that was completed by 17 volunteers, Kwon et al. (2010) recorded auditory 326 brainstem responses from the mid brain during exposure to GSM 902.4 MHz mobile phone signals (SAR_{10g} =</sub> 327 0.82 W/kg). The signals were emitted by the antenna of a mobile phone connected to an external signal 328 329 generator. The loudspeaker and the buzzer of the mobile phone were removed. Each participant was sham and RF exposed, first with the mobile phone placed against the right ear and then against the left ear, resulting in four 330 331 exposure conditions. The order of RF and sham exposures was designed to be counterbalanced. Brainstem 332 responses were recorded twice under baseline condition and during each of the four exposure conditions in a procedure lasting about 1 hour. Rarefaction clicks were used to elicite brainstem responses of the the exposed 333 334 ear, while a masking white noise was delivered to the contralateral ear. In a few instances artefacts occurred due 335 to interference by the mobile phone signals. Then the recording of the brainstem responses was interrupted until 336 the phone had been repositioned to avoid the interference. Latency and interwave intervals of waves I, III and V, as well as amplitudes and relative amplitudes of waves I and V were assessed. These waves are electrical fields 337 338 recorded with electrodes placed on the scalp and generated in response to the clicks at different levels along the auditory pathway. No difference between sham and RF exposure was observed for any of these parameters, 339 340 suggesting that the applied exposure did not affect the transmission up to the level of the mid brain.

341 Studies including IEI-EMF volunteers

One study has been conducted to test effects on sensory functions of individuals with idiopathic environmental intolerance attributed to EMF (IEI-EMF). Bamiou et al. (2008) investigated whether continuous wave and GSM modulated RF signals affected the peripheral auditory and vestibular systems and whether nine individuals who reported symptoms after mobile phone exposure were more affected than 21 volunteers without

346 IEI-EMF. Both exposures were at 882 MHz. The signals were emitted by a generic mobile phone placed next to 347 the side of the head, resulting in a SAR_{10g} of 1.3 W/kg. In the sham condition, the phone was operating to be 348 heated similarly as in the RF exposure conditions by diverting the generated RF power to an internal load instead 349 of emitting the RF signals by the antenna. Effects on the auditory and vestibular systems were tested on separate days, 2-4 weeks apart. Both ears were exposed separately to the RF and to the sham signals, each lasting 30 350 351 minutes and with the order of exposure conditions determined randomly. The sensory functions were recorded 352 before the first exposure and immediately after each exposure. Analysis of the auditory function, assessed by the amplitude of transient evoked otoacoustic emission, indicated no effect of exposure in either group of volunteers. 353 354 No statistical analysis was performed for the vestibulo-ocular reflex, recorded by video-oculography, due to the 355 absence of clinically significant nystagmus. Minor nystagmus was observed in three participants, all controls, in 356 two after sham exposure and in one after RF exposure. [Some uncertainty is attached to the results for the group 357 of IEI-EMF volunteers due to the low number of participants, although the exposure used was consistent with that reported to cause symptoms. Furthermore, the authors provided no information about the testing room and 358 the background levels of EMF, which would be of particular relevance for the IEI-EMF participants.] 359

360 Papers with uncertainties releted to the includion criteria

One study (Stefanics et al., 2007) was not included in the final analysis due to unsufficient statistical 361 362 analysis, and another (Colletti et al., 2011) due to substantial uncertainties related to the exposure. Stefanics et al. (2007) exposed 15 volunteers to signals from a GSM 900 phone, fifteen other volunteers were sham exposed. 363 For each of the two exposure conditions, the latencies of auditory brainstem responses recorded before and after 364 exposure were compared. No significant changes from before to after sham or RF exposure were observed. 365 366 However, some of the changes were in opposite directions for the sham and the RF exposures. [Even though each of the changes was not significant, the difference between them might have been. However, no statistical 367 analysis was performed to compare the sham and the RF exposure conditions. Therefore, no conclusion can be 368 drawn based on these results.] 369

370 Colletti et al. (2011) included patients with Ménière's disease. Seven patients were exposed for 5 371 minutes to signals from a mobile phone in "active call mode" during a surgical operation where the superficial tissues had been removed so that the cochlear nerve was directly exposed. A control group of five others was 372 373 exposed with the mobile phone in stand-by mode. The mobile phone was held very close to the nerve (about 6 374 mm). A monopolar cotton-wick electrode was placed at the root entry zone of the nerve to record the compound action potential from the nerve cells. The latency increased and the amplitude decreased significantly during the 375 376 exposure to the active call compared to the control condition. In addition, auditory brainstem responses were recorded without exhibiting any effect of exposure. [This study is difficult to interpret: there was no control of 377 378 the output power of the mobile phone signals, and another substantial issue is the proximity of the metal 379 electrode to the nerve. In the worst case, the electrode might have functioned as an antenna and caused relatively 380 strong EMF fields in parts of the nerve.]

| Table 6.1.2. Mobile phone handset related studies assessing effects on auditory and vestibular functions | | | | | |
|--|--|---|---|--------------------------|--|
| Endpoint and Participants ^a | Exposure ^b | Response | Comment | Reference | |
| Studies with healthy adu | Ilts | | | | |
| Distortion product otoacoustic emissions (DPOAE) recorded between RF/sham pulses 28 volunteers (16–30 years; 14 males, 14 females) | GSM-like signals emitted by monopole antenna 5 cm from the ear, 900 MHz, pulse duration 0.5763 ms, 24.204 ms between pulses SAR 0.1 W/kg 24 min with 8 alternating 3 min RF and sham exposures repeated 12 times with different acoustic test stimuli | No effect of exposure for males and females together. For females the DPOAE level differed between RF and sham exposure for one of 12 acoustic stimuli. | Single blind, counterbalanced, cross-over. No correction for multiple analyses. | Janssen et al. (2005) | |

| Pure tone audiometry (PTA), transient evoked otoacoustic emission (TEOAE) recorded before and after exposure 30 volunteers (18–30 years; 18 males, 12 females) | GSM mobile phone against test ear 900 MHz (n=15): average output power 0.25 W 1800 MHz (n=15): average output power 0.125 W 10 min; concurrent speech signal | No effect of exposure. | Double blind, counterbalanced for each exposure frequency, cross-over. For subjective endpoints see Section 5.2.4. | Uloziene et al. (2005) |
|---|--|------------------------|---|--|
| PTA, TEOAE, DPOAE | GSM mobile phone against test ear 900 MHz (n=6): SAR _{1g} 0.41 W/kg in brain 30 mm from the surface 1800 MHz (n=6): SAR _{1g} 0.19 W/kg in brain 30 mm from the surface 10 min, concurrent speech signal | No effect of exposure. | Same project as Uloziene et al. (2005) Double blind, counterbalanced, cross-over. Small sample. Bonferroni correction for multiple comparisons (significance criterion not specified). Same project as | Parazzini et al. (2005) Parazzini et |
| and auditory brainstem responses (ABR) recorded before and after exposure 118 ^c volunteers (18–30 years) | ear 900 MHz (~ 50% of volunteers): SAR _{1g} 0.41 W/kg in brain 30 mm from the surface 1800 MHz (~ 50% of volunteers): SAR _{1g} 0.19 W/kg in brain 30 mm from the surface 10 min, concurrent speech signal | | Uloziene et al. (2005) Double blind, counterbalanced, cross-over. Bonferroni correction for multiple comparisons (significance criterion not specified). | al. (2007a) |
| TEOAE recorded before and after exposure 27 Volunteers (23–30 years; recruited:17 males, 12 females) | GSM mobile phone against test ear 900 MHz (~ 50% of volunteers): SAR _{1g} 0.41 W/kg 1800 MHz (~ 50% of volunteers): SAR _{1g} 0.19 W/kg in brain 30 mm from the surface 10 min, concurrent speech signal | No effect of exposure. | Same project as Uloziene et al. (2005) Double blind, cross- over. | Paglialonga et al. (2007) |
| PTA, DPOAE, and contralateral acoustic stimulation during TEOAE recorded before and after exposure 134 [°] volunteers (18–30 years; 61 males, 73 females [°]) | UMTS mobile phone against test ear, 1947 MHz Max SAR 0.069 W/kg in brain 30 mm from the surface 20 min, concurrent speech signal | No effect of exposure. | Double blind, counterbalanced, cross-over. Bonferroni correction for multiple comparisons (significance criterion: p < 0.004). For auditory evoked potentials at the level of brain cortex see Section 5.2.1. | Parazzini et al. (2009) |
| PTA, DPOAE and contralateral acoustic stimulation during TEOAE, recorded before and after exposure 57° volunteers (18–30 years; recruited: 35 males, 38 females°) | Signals from UMTS mobile phone transmitted by a patch antenna against test ear, 1947 MHz SAR _{1g} 1.75 W/kg in brain 20 mm from the surface 20 min, concurrent speech signal | No effect of exposure. | Similar to Parazzini et al. (2009), but with higher exposure level. Double blind, counterbalanced, cross-over. Bonferroni correction for multiple comparisons (significance criterion: p < 0.001). For auditory evoked potentials at the level of brain cortex see Section 5.2.1. | Parazzini et al. (2010) |

| PTA of exposed ear recorded before and after exposure 36 volunteers (19–28 years; 16 males, 20, females) | Signals from UMTS mobile phone emitted by patch antenna over right ear, [frequency not specified] SAR _{1g} 0.39 (1.75 W/kg in brain 30 mm from the surface) 20 min | No effect of exposure. | Double blind, counterbalanced, cross-over. For cognitive function see Section 5.2.1.; for event related potentials see Section 5.2.2.1. | Stefanics et al. (2008) |
|--|--|------------------------|---|----------------------------|
| ABR recorded during exposure 17 volunteers (25.9 ± 4.3 years, 6 males, 11 females) | GSM mobile phone against one ear at the time, 902.4 MHz 1 h including baseline and sham SAR _{10g} 0.82 W/kg < 10 min on each side | No effect of exposure. | Single blind, nearly counterbalanced, cross-over. The phone was repositioned in a few cases to avoid EMF interference with recording equipment. For perception test see Section 5.2.4. | Kwon et al. (2010) |
| Studies including volunt | eers with IEI-EMF | | | |
| PTA, TEOAE and vestibulo-ocular reflex recorded before and after exposure 9 IEI-EMF volunteers (20–55 years; 6 males, 3 females) 21 healthy volunteers (20–55 years; 12 males, 9 females) | CW and GSM signals from generic mobile phone next to left and right side of head, 882 MHz SAR _{10g} 1.3 W/kg 30 min Auditory and vestibular functions and right and left sides exposures in different sessions | No effect of exposure. | Double blind, randomized, cross- over. Small sample of IEI- EMF volunteers. For detection test see Section 5.2.4. | Bamiou et al. (2008) |

Abbreviations: ABR: auditory brainstem responses; DPOAE: Distortion product otoacoustic emissions; GSM: Global System For Mobile Communication; IEI-EMF: Idiopathic environmental intolerance attributed to EMF; PTA: Pure tone audiometry; TEOAE: transient evoked otoacoustic emission; UMTS: The Universal Mobile Telecommunications System

^a The maximal number of volunteers participating in analyses is provided. Numbers of male and female participants are provided in the table if included in the paper.

^b SAR with relevant averaging volume (e.g. SAR_{10g}) is specified if included in the paper.

^c In some analyses a lower number of participants were included.

381

382 Excluded studies

(Arai et al., 2003; Bak et al., 2003; Balachandran et al., 2012; de Sèze et al., 2001; Kellenyi et al., 1999;
Monnery, Srouji & Bartlett, 2004; Mora et al., 2006; Oysu et al., 2005; Ozturan et al., 2002; Pau et al., 2005;
Sievert, Eggert & Pau, 2005; Sievert et al., 2007)

386 6.1.3 Animal studies

The WHO (1993) report on effects of RF exposure addressed the subject of auditory function only from the point of view of auditory perception of pulsed RF, citing a few papers dealing with the verification of the energy density threshold for this endpoint. The vast majority of more recent studies on auditory effects are related to mobile communication devices. The WHO's 1993 Environmental Health Criteria (WHO, 1993) did not include any papers on animal studies of vestibular function. The current literature search covering 1990-2013 also did not find any studies dealing directly with the issue of effects of RF EMF exposure on vestibular function in animals.

Marino et al. (2000) performed an initial study on 10 week-old male rats, exposed at a frequency of 950 MHz CW (SAR values of 0.2 or 1 W/kg, for 3 days and 3 h per day, 8 exposed and 8 sham) or a frequency of 936 MHz (1 W/kg, 5 days, 3 h per day, 8 exposed and 8 sham). Distortion Product Otoacoustic Emissions, DPOAEs) were registered from both ears before exposure and immediately, 24 h and 48 h after exposure. The acoustic frequency range tested was 2000–6000 Hz, 70 dB sound pressure level (SPL) stimuli. Data obtained from both 950 and 936 MHz did not indicate any acoustic functional effects in terms of otoacoustic emissions due to exposure.

Four studies were performed in the framework of two projects funded by the European Commission (Aran et al., 2004; Galloni et al., 2005b; Galloni et al., 2009; Parazzini et al., 2007b). The first project (GUARD) dealt with effects of GSM cellular phones, while the second (EMF nEAR) focused on the effects of UMTS EMF exposure. These studies all used a localized exposure of one of the animals' ears, by means of a loop antenna as EMF source, as has been described in the section on exposure systems.

406 Aran et al. (2004) exposed guinea pigs (left ear, 8 animals per group) for 1 h per day, 5 days per week, 407 for 2 months, to 900 MHz GSM modulated microwaves at SARs of 1, 2 and 4 W/kg respectively. DPOAEs from 408 0.5 to 8 kHz, 75 dB SPL stimulus intensity, were measured before exposure, at the end of the 2-month exposure 409 period, and 2 months later. Afterwards, the same protocol was applied to 8 sham-exposed and 16 guinea pigs exposed at 4 W/kg, and auditory brain stem response (ABR) thresholds were monitored (stimuli of 4 ms, 1-24 410 kHz, 10-75 dB SPL). Repeated-measures ANOVA showed no difference in DPOAE amplitudes or in ABR 411 thresholds between the exposed and non-exposed ears and between the sham-exposed and exposed groups. 412 Acute effects were also investigated by measuring once, in all animals, ABR thresholds just before and just after 413 the 1-h exposure: no statistically significant difference was observed. 414

A total of 58 male rats were utilized by Galloni et al. (2005a), with protocols including different exposure times (3 h per day, 5 days, or 2 h per day 5 days per week during 4 weeks), frequencies (923 or 936 MHz CW, or 900 or 960 MHz GSM), SARs (sham, 1 or 2 W/kg) and timing after the end of the exposure (1 day, 2 days, 3 days or 1 week) of DPOAEs test (in the range of 3000–7000 Hz, 35–70 dB SPL). No significant variation in any parameter due to exposure to any type of RF EMF exposure was observed.

The same research team scheduled other trials on effects of GSM exposure on the auditory system of rats, after further developing both the exposure system set-up and the hearing test procedures. In Galloni et al. (2005b), a total of 48 rats were exposed or sham-exposed 2 h per day, 5 days per week for 4 weeks at a local SAR of 2 W/kg, at both 900 and 1800 MHz, GSM modulated. DPOAE tests (ranging between 3 and 21 kHz, 70/65 and 65/55 dB SPL stimuli) were carried out before, during (at the end of each week) and one week after the exposure. There were no statistically significant differences between the acoustic signals recorded from the ears of different exposure groups.

Parazzini et al. (2007b) evaluated possible combined effects of 900 MHz (CW) and gentamicin (GM), 427 428 an ototoxic agent, on the cochlear functionality of rats as measured by DPOAEs. A population of 32 rats was 429 divided into 4 groups: (1) treated with 150 mg/kg GM per day intramuscular for 15 days, (2) treated with GM + EMF exposure, (3) exposed to EMF only, (4) sham-exposed to EMF. Rats were exposed 2 h per day, 5 days per 430 week for 4 weeks at a local SAR of 4 W/kg in the ear. DPOAEs tests in the acoustic range 3316-13250 Hz, 431 432 stimuli of 70/65 and 65/55 dB SPL, were carried out before, during and after the combined exposure. No 433 influence of EMF exposure, alone or in combination with GM, on the inner ear function, and no effects of the co-exposure to the ototoxic agent were found. 434

In Galloni et al. (2009) possible effects of UMTS emissions (1946 MHz) on the functionality of the 435 436 cochlea outer hair cells in male rats are described. The local SAR was 10 W/kg, treatment time was 2 h per day, 437 5 days per week, for 4 weeks; 24 rats were exposed, and 24 were sham-exposed. As positive control group, 12 438 rats were administered with the antibiotic kanamycin, 250 mg/kg, by daily intramuscular injection for 21 days, 439 starting at the same time as the real and sham exposures. DPOAEs (3-16 kHz, 65/55 and 60/50 dB SPL stimuli) 440 recordings were performed before exposure, at the end of each week of exposure, and 1 week after the last 441 exposure day. Notwithstanding the high local SAR level, far above the maximum level of 2 W/kg allowed for commercially available cell phones, no statistically significant effect of exposure to RF EMF at UMTS 442 443 frequency was found. The DPOAE amplitudes were significantly decreased in kanamycin-treated rats; this effect 444 started 4 weeks after the antibiotic injection and, as expected, was clearly marked at higher acoustic frequencies 445 (Forge & Schacht, 2000).

446 Studies not included in the analysis

Kizilay et al. (2003) exposed newborn and adult male rats to 900 MHz GSM-modulated fields 1 h daily for 30 days. The maximum SAR of the used mobile phone as provided by the manufacturer was 0.95 W/kg, but no actual exposure level is given. DPOAEs ranging from 1 to 6.3 kHz, 36-75 dB SPL, were tested before and at the end of the 30-day exposure. The analysis of results in terms of the mean amplitudes did not reveal any statistically significant differences between exposure and non-exposure conditions. In addition, 30 days exposure of newborn rats did not cause any detectable alteration during cochlear development. [The exposure level is not provided. Only the maximum SAR of the phone and the distance between the animals and

the cell phone are provided, no measurements of the actual dose have been done. For the exposure system they refer to Burkhardt et al. (1997)]

Kayabasoglu et al. (2011) exposed newborn and adult rats for 6 hours per day, for 30 consecutive days, at 900 or 1800 MHz. Before and after the exposure period, DPOAEs at six frequencies between 1001 and rough Hz (stimuli of 65/55 dB SPL) were measured in each group and a signal-to-noise ratio was calculated. In both the newborn and adult rat groups, no significant difference was observed in the recordings before and after exposure. [No details are provided on the exposure setup and the exposure level. Only the maximum SAR levels provided by the manufacturers for the mobile phones used are given, not the actual exposure levels. It is not clear whether the unexposed controls were sham exposed or cage-controls.]

Budak et al. (2009a; b; 2009c) published three papers on infant and adult rabbits exposed in a shielded chamber to GSM-modulated 1800 MHz fields. In the first study (Budak et al., 2009a), male rabbits were wholebody exposed 15 min daily for 7 days as foetus between the 15th and 22nd day after conception, or after they reached 1-month of age after birth, or both. Exposure of foetuses resulted in increased DPOAEs amplitudes in the acoustic range 1.5–6 kHz, whereas exposure after birth decreased DPOAE amplitudes between 4 and 6 kHz. [The exposure level is not provided and it is not clear whether the unexposed controls were sham exposed or cage-controls.]

In the second study (Budak et al., 2009c),13 month-old pregnant and non-pregnant rabbits were included. They were exposed for 15 min daily for 7 days, or not exposed. In the exposed non-pregnant group DPOAEs levels at 1–4 kHz were lower if compared to non-exposed, non-pregnant animals, while no effects were found in pregnant rabbits. [No details are provided on the exposure setup and exposure level. It is not clear whether the unexposed controls were sham exposed or cage-controls.]

The third experiment (Budak et al., 2009b) used 1-month-old and 13-month-old female rabbits. They were whole-body exposed for 15 min daily for 7 days. The mean amplitude of DPOAEs of the adult exposed group was significantly lower than that if the controls, and, conversely, the mean amplitude of DPOAEs of young exposed animals was significantly higher. [The exposure level is not provided and it is not clear whether the unexposed controls were sham exposed or cage-controls.]

In Kaprana et al. (2011), rabbits were locally exposed to a 900 MHz field for 60 min by an in-ear antenna. During exposure and 24 h after, hearing thresholds, absolute wave latency and interwave latency on baseline ABR recordings were assessed. Effects were detectable only on the exposed ear, namely prolongation of interval latencies between waves I and V and between waves III and V after 30 minutes of exposure. [The exposure level is not provided, only the output power of the antenna.]

Seckin et al. (2014) evaluated possible effects of 900 and 1800 MH GSM fields on cochlear 485 486 development in Wistar rats. Pregnant rats (two controls, three exposed at 900 MHz and three at 1800 MHz) were exposed 1 hour per day starting on the 12th day after conception until delivery; newborns (24 controls, 31 487 488 exposed with 900 MHz and 24 with 1800 MHz) were exposed again 1 hour per day for 21 days. Then DPOAE 489 amplitudes were tested at 1-8 kHz, 80 dB SPL stimuli. Eight animals per group were selected for cochlear 490 electron microscopy evaluation. A significant increase of 8-kHz DP levels in the 1800 MHz group compared to 491 controls was reported, in the absence of any functional loss, as well as a higher level of apoptotic and necrotic 492 cells in the middle segment of each cochlear turn after electron microscopy examination. [No dosimetry or SAR 493 levels are included, only incident electric field values "measured weekly on the back of each newborn rat" 494 during exposure are reported.]

495 Seaman and Beblo (1992) in a preliminary report and Seaman et al. (1994) evaluated possible 496 modification of acoustic and tactile startle by microwave pulses expected to give rise to microwave hearing in rats. Male rats were exposed to 1.25 GHz, 0.9 and 7.82 µs pulses, resulting in a SAR averaged over the duration 497 of the pulses of 15 or 86 kW/kg and an SA from 16–44.2 and 66.6–141.8 mJ/kg to 525.0–1055.7 mJ/kg. Medians 498 499 of startle peak amplitude, response integral and latency were assessed. Different effects of exposure (inhibition or enhancement) were observed, depending on timing and intensity of the stimulus. [This study is weakened by 500 501 the poor dosimetry. The authors reported that they performed thermometric measurement on a rat cadaver for the 502 SAR assessment. However, it is difficult to extrapolate accurate dosimetric data from such measurements for two main reasons. First, a continuous wave signal at 1.25 GHz was used for the measurements while the experiments 503 504 were carried out using microwave pulses of 0.8-1 µs that have a wide frequency content. Therefore a single 505 frequency dosimetry is not enough to characterize the target. Second, the measurement points within the cadaver 506 as well as all the whole measurement protocol were not specified, making the extrapolated data unreliable.]

| Endpoint, animals, number per group, age at start | Exposure: source, schedule, level, freely moving or restrained, coexposure | Response | Comments | References |
|--|---|-------------|--|-----------------------------|
| Distortion Product Otoacoustic Emissions (DPOAE) Rat: Sprague Dawley (n=8) 10 weeks | Horn antenna, 936 and 950 MHz, CW 3 or 5 days SAR 0.2 or 1 W/kg in the cochlea region Restrained | No effects. | Exposure inside a shielded chamber. Gas anaesthesia during DPOAEs sessions. | Marino et al. (2000) |
| DPOAE and ABR Guinea pigs (n= 8) Age not provided | Loop antenna, 900 MHz GSM 1 h/d, 5 d/weeks, 2 months SAR 1, 2 and 4 W/kg in the cochlea region Restrained | No effects. | Also Organ of Corti's explants exposed in vitro to 1 W/kg, no effects. | Aran et al. (2004) |
| DPOAE Rat: Sprague Dawley (n=8) 8 weeks | Horn or loop antenna, 923 or 936 MHz CW 900 or 960 MHz GSM 2 or 3 h/d, 5 d, 1 or 4 weeks SAR 1 or 2 W/kg in the cochlea region Restrained | No effects. | Gas anaesthesia during DPOAEs sessions. | Galloni et al. (2005a) |
| DPOAE Rat: Sprague Dawley, (n=16) 10 weeks | Loop antenna, 900 or 1800 MHz GSM 2 h/d, 5 d/week, 4 weeks SAR 2 W/kg in the cochlea region Restrained | No effects. | Small changes in DPOAE level with time, not related to exposure. | Galloni et al. (2005b) |
| DPOAE Rat: Sprague Dawley (n=8) 10 weeks | Loop antenna, 900 MHz CW 2 h/d, 5 d/week, 4 weeks SAR 4 W/kg in the cochlea region Restrained Co-exposed to gentamicin (GM) 150 mg/kg, in the positive controls and RF+GM group | No effects. | No effects of co- exposure, confirmed ototoxic effect of GM in the positive control. | Parazzini et al. (2007b) |
| DPOAE Rat: Sprague Dawley (n=12 or 24) 10 weeks | Loop antenna, 1946 MHz, UMTS SAR 10 W/kg in the cochlea region 2 h/d, 5 d/weeks, 4 weeks Restrained Positive control: kanamycin (KM) 250 mg/kg | No effects. | Confirmed ototoxic effect of KM in the positive control. | Galloni et al. (2009) |

507

508 6.1.4 In vitro studies

In the previous WHO report (WHO, 1993) no in vitro studies on auditory, vestibular and ocular 509 functions were reported. The present literature search identified 20 relevant papers on this topic. Eleven of them 510 were in a language that could not be understood. One paper was obtained from other sources. That left ten papers 511 to be extracted. Among the relevant publications, three were excluded because they did not meet the inclusion 512 criteria for in vitro studies, and references are listed at the end of this section. Three papers did not completely 513 comply with the quality criteria for inclusion due to methodological issues, therefore they are only presented in 514 the text. The four included studies are described below and summarized in Table 6.1.4 (auditory function) and in 515 Table 6.2.4 (ocular function). No study related to vestibular function was identified. Unless specifically 516 517 mentioned, papers did not report on blinding of the investigators to the exposure conditions.

518 In a study carried out by Aran and co-workers the effect of RF EMF on the ears of guinea pigs were 519 investigated in vivo and in vitro (Aran et al., 2004). The results on in vivo experiments are reported in Section 6.3.1. Concerning the in vitro experiments, the two organs of Corti (OCs) of 15 newborn rats were isolated and 520 placed in culture. For each animal, one OC was exposed for 24-48 h to a 900 MHz GSM signal (SAR = 1 521 522 W/kg), and the other was sham-exposed, following a blind procedure. After 2–3 days of culture, all OCs were observed under light microscopy. The auditory hair cell population and pattern of organization were completely 523 524 normal at this stage of development. [In this paper, also reported Section 5.4.2 (Brain physiology and function), 525 positive controls have not been included in the study design.]

526 Huang et al. (2008) exposed a mouse auditory hair cell line (HEI-OC1) to 1763 MHz CDMAmodulated RF EMF (SAR = 20 W/kg) and evaluated several biological endpoints. In three independent 527 experiments, no changes in single and double DNA strand breaks were observed after 6, 24 and 48 h (see Section 528 12.3.1). Protein expression level of HSP27, HSP70 and HSP90 or phosphorylation status of ERK, JNK and p38 529 also were unaffected following 15-120 min RF exposure (see Sections 12.3.2 and 12.3.3). Furthermore, no 530 alterations in cell cycle distribution were observed after 24 and 48 h RF exposure (see Section 12.3.6). [In this 531 study heating of Jurkat cells to 43 ± 0.2 °C for 30 min was included as a positive control, whereby positive 532 533 findings were detected.]

| Cell type | Biological endpoint | Exposure conditions | Results | Comment | Reference |
|---|--|---|-------------------|--------------------------------------|-----------------------|
| Number of Independent experiments | | | $\langle \rangle$ | | |
| Drgans of Corti i=15 | Auditory hair cells morphology | 900 MHz, GSM Average SAR 1 W/kg 24–48 h | No effect. | See also 5.4.2. | Aran et al. (2004) |
| IEI-OC1 Mouse uditory hair ells =3 | DNA strand breaks, protein expression, ERK, JNK, p38 phosphorylation, Cell cycle | 1763 MHz, CDMA SAR 20 W/kg 15 min–48 h | No effect. | No information on blinding of staff. | Huang et al (2008) |

"No effect" means no statistically significant effect.

Abbreviations: CDMA: code division multiple access; GSM: Global System for Mobile Communication; SAR: specific absorption rate.

534

535 6.2 Ocular function

The lens of the eye is potentially sensitive to RF exposure, because it lacks a blood supply and so has a reduced ability to dissipate heat compared with other tissues. In addition, the fibres that make up the bulk of the lens have only a limited capacity for repair and tend to accumulate the effects of minor insults. Cellular debris resulting from any cytotoxic insult to the lens tends either to be carried to the posterior subcapsular region due to the mechanical forces of epithelial cell proliferation and fibre formation, or is trapped in situ in the lens matrix.

542 6.2.1 Epidemiological studies

543 Potential ocular effects from exposure to RF fields have been studied in a few epidemiological studies. 544 The WHO Environmental Health Criteria from 1993 (WHO, 1993) describes two occupational studies reporting 545 general eve irritation and complaints among plastic sealers. Around 10 occupational studies of cataracts or other effects on the lens were discussed, where most of them found no ocular effects, including some large studies of 546 547 military personnel, while two studies report lens changes, and one a higher incidence of cataracts among exposed. For cases with confirmed cataracts, exposure had exceeded 1 kW/m². The new search identified three 548 549 epidemiological studies published since 1992, all focused on potential ocular effects associated with mobile phone use. None of the studies provided enough information to fully assess the quality and they are therefore 550 only briefly described and not tabulated. They are given little or no weight in the overall assessment. 551

552 Balik and co-workers conducted a cross-sectional study in Elazig, Turkey (Balik et al., 2005), in 553 which 695 (502 males, 193 females) persons agreed to participate, randomly selected "from different ages,

554 educations, earnings, locations and occupations" [participation rates are not described, and it is unclear how 555 randomization could be performed in such detailed population strata]. Approximately 80% were mobile phone users (n=549). Analyses were made using ANOVA, with statistical significance at p<0.05. Information about 556 ocular symptoms (blurring of vision, redness on the eyes, vision disturbance, secretion of the eyes, inflammation 557 in the eyes, lacrimation of the eyes), was collected through a questionnaire. Persons who reported they had 558 559 ocular symptoms prior to start of mobile phone use were included in the analyses as exposed to mobile phone 560 use, with a prevalence of different symptoms varying from around 30% to slightly less than 50%. A higher 561 prevalence of blurring of vision (p=0.000), secretion of the eyes (p=0.031), or inflammation in the eyes 562 (p=0.034) was found among mobile phone users, but did not vary according to years of use. The prevalence of 563 lacrimation of the eyes was higher among persons who had used a mobile phone during 2 years, while users with 564 longer duration had lower prevalence, with an overall p-value of 0.031. No significant differences were found for vision disturbance or inflammation in the eyes. [The cross-sectional design is a limitation, which is illustrated by 565 the large proportion of symptoms reported to have occurred prior to start of mobile phone use. Lack of 566 information about participation rates prevents assessment of potential selection bias. The age distribution of the 567 568 study population is not reported, and no confounding control was made.]

569 A cross-sectional study of mobile phone use and problems with hearing and vision (also described in 570 in the previous section on auditory function) was conducted in Saudi Arabia by Meo and Al-Drees (2005). The study included 873 volunteers (498 males and 348 females, 27 unknown sex) from the College of Medicine, 571 572 King Saud University and from different areas of Riyadh. The age range was 18-46 years. No information was 573 provided on how participants were recruited, or participation rate. Through a structured questionnaire, either as 574 self-completed or through interviews, information was collected about general physical characteristics [age, sex?], medical history, and amount and duration of mobile phone use. Chi-square test was used to assess 575 differences in the distribution of vision complaints according to amount of mobile phone use. Vision complaints 576 were measured as decreased vision and/or blurred vision, and were reported by 5.04% of participants, with no 577 significant association with average total daily duration of mobile phone calls (p=0.373). [It is unclear if persons 578 were randomly selected, or if a source population was defined. No control of confounding was made.] 579

580 Kucer conducted a cross-sectional study of ocular symptoms among mobile phone users in Turkey (Kucer, 2008). The study included 229 students at the Kocaeli Vocational School of Health Service, 79% women 581 and 21% men. All participants were mobile phone users. No information was provided about procedures for 582 583 recruitment of participants or participation rate, nor age distribution. Questionnaires were distributed asking for 584 information about ocular symptoms in the same categories as Balik et al. (2005) described above. Analyses using 585 Chi-square with Yates correction compared prevalence of symptoms among mobile phone users with <2 years of 586 mobile phone use to those with >2 years with significance at p<0.05 [it is unclear to which category 2 years 587 belongs]. The prevalence of blurring of vision was 6.6% among women and 6.3% among men [substantially 588 lower than in the study by Balik et al. (2005)], and was higher among mobile phone users with >2 years duration 589 of use (27.2%) compared to <2 years (8.8%) [some of the reported prevalences must be erroneous, gender 590 specific results indicate that 15 persons in total reported blurring of vision, while the corresponding number of 591 persons according to categories of mobile phone use would be 54 persons]. No other significant differences were 592 found. [The cross-sectional design, uncertainties regarding subject selection and participation, no confounding 593 control, and possibly erroneous analysis makes the study uninformative.]

594 **6.2.2** Animal studies

595 Studies have been carried out on the effects of exposure to RF radiation on the lens of the eye and 596 other tissues including the retina. Many of the early studies carried out in the 1960s and 1970s used rabbits, later 597 studies tended to use primates because of the greater similarity of their facial and ocular structures to those of 598 humans. These studies have been reviewed by WHO (1993) and are briefly summarized below, along with a 599 discussion of the evidence from more recent papers.

600 6.2.2.1 Effects on the lens

Briefly, as noted by WHO (1993), cataract is a well-established thermal effect of RF exposure in anaesthetised rabbits (e.g. Carpenter, 1979; Guy et al., 1975; Kramar et al., 1978; Kramar et al., 1975). High lens temperatures induced by exposure of the head to microwaves have been shown to induce cataracts in the lenses of anaesthetised rabbits (Guy et al., 1975; Kramar et al., 1978); threshold temperatures for prolonged (100–200 min) exposure lie between 41 and 43°C; corresponding local SARs are in the range 100–140 W/kg. These high local SARs and temperatures resulted from protracted (>140 min) localised exposure of the eye to RF radiation of 1–10 GHz at power densities greater than 1.5 kW/m²; whole body exposure at such levels however is limited

by thermal stress (Elder, 2003). The few experiments which have investigated the effect of chronic whole-body exposure of conscious rabbits to lower power densities (up to 100 W/m²) reported a lack of effect on the lens. Cataracts were not observed in rabbits after exposure to 2.45 GHz RF fields at 100 W/m² (whole-body SAR of 1.5 W/kg) for up to 17 weeks (Ferri & Hagan, 1976). Nor was any change found in the eyes of rabbits exposed for ~6 months to 2.45 GHz where the maximal SAR in the head was 17 W/kg (Guy et al., 1980). Chou et al. (1982; 1983) also reported that low level pulsed or CW 2.45 GHz RF exposures for 3 months at SARs of 0.55 and 5.5 W/kg in the head did not cause cataracts.

615 These early studies also found primates to be less susceptible to cataract induction than rabbits (WHO, 1993). Opacities have not been induced in the eyes of anaesthetised rhesus monkeys after repeated acute 616 localized exposures of up to 5 kW/m², well above threshold levels for anaesthetised rabbits (McAfee et al., 1979; 617 McAfee et al., 1983). In addition, McAfee and colleagues exposed conscious monkeys to 2.45 GHz CW for up 618 to 12 h over a 4 month period or to 9.3 GHz RF radiation (pulsed or CW) for up to 15 h over a 34 month period 619 at SARs in the head of up to 40 W/kg. Eve examinations carried out 1-4 years after exposure revealed no effects 620 on the lens. The lower susceptibility of primates to cataract induction is thought to result from structural 621 622 differences in the eyes and skull of the two species, resulting in lower power absorption and heating of the 623 thinner primate lens. WHO (1993) concluded that with respect to effects on the lens, it depends on the conditions 624 of exposure if and what type of opacity is formed. The depth of penetration of the RF fields, and hence the frequency, is an important factor. Below 1.5 GHz, the dimensions of the orbit-eye combination are too small to 625 626 result in local field concentration. Above about 10 GHz, penetration decreases and power absorption becomes 627 increasingly restricted to the superficial tissue.

In a study aimed at replicating the study of Kues et al. (1985) that observed damage of RF exposure to the cornea (see section 6.3.3.2), Kamimura et al. (1994) exposed the eyes of 5 conscious cynomolgus monkeys for 4 h to CW 2.45 GHz radiation at a level (430 W/m², corresponding to a local SAR of ~11.3 W/kg) that exceeded the levels that resulted in corneal damage in the Kues study. Kamimura et al. did not find any damage to the lens, but they note that the use of anaesthesia by Kues et al. may have compromised heat dissipation in the eye, increasing the susceptibility to RF heating.

Kues et al. (1999) exposed one of the eyes of two juvenile rhesus monkeys and of five rabbits to 60 GHz, while the other eye served as control. The animals were exposed either once during 8 h, or repeatedly during 5 days for 4 h per day. The exposure level was 100 W/m² at the cornea. No changes were observed in the lenses of both rabbits and rhesus monkeys following these treatments.

Saito et al. (1998) exposed the eyes of nine conscious Japanese White rabbits for ~2.5–4 h to 2.45 GHz at an SAR to the eye of 26.5 W/kg, with the contralateral eye serving as a control, and reported acute thermal damage in the lens (fibrinogenesis in the anterior chamber) and other structures of the exposed eye (transient oedema of the cornea and of the conjunctival tissue surrounding it, and contraction and congestion of the pupil). This damage resolved in about a week. In contrast to studies with anaesthetised rabbits, using higher local SARs, the authors did not observe cataracts.

644 Studies with both conscious and anaesthetised Dutch rabbits have been carried out by Kojima et al. 645 (2004) who investigated the way in which anaesthesia reduces the capacity of the eye to dissipate heat. They 646 assessed the effects of localized exposure of rabbit eyes for 2x60 min with 1 h interval to 2.45 GHz RF at a local 647 SAR to the eye of 108 W/kg (n=3-4). The RF-induced changes, which disappeared within a week, included 648 corneal oedema, inflammation of the iris and increased light-scattering from the anterior cortex of the lens. These effects were much more marked in the anaesthetized rabbits than in those not anaesthetised, reflecting the 649 greater temperature increases (of up to 9 °C) measured in the posterior (vitreous) chamber and to a lesser extent 650 in the anterior (aqueous) chamber of the eyes of the anaesthetised rabbits. Increased heating of the posterior 651 652 region of the lens, particularly in anaesthetised rabbits due to reductions in blood flow, was confirmed in 653 dosimetric and thermal modelling studies by Hirata et al. (2006).

654 Studies not included in the analysis

Hässig et al. performed two observational studies on cows. In the first study (Hässig et al., 2009) 253 calves, 83 to 370 days old (mean 146 days), originating from 229 different farms, were randomly selected at different abattoirs in Switzerland immediately after slaughter. For each animal, they calculated the exposure as the maximum possible exposure from the nearest base station to the farm within 2 km, or from all the base stations within a distance of 10 km from the farm. Using a factorial ANOVA they observed no significant association between the field strength in the 3rd trimester of pregnancy and the occurrence of cataracts in the calf

(OR=1.19, 95% CI: 0.86–1.65). Corrections were made for the presence of several infectious agents known to
 cause cataract in cows. [Since actual exposure levels are not provided, this study cannot be readily interpreted.
 The authors also assessed several parameters indicative of oxidative stress (superoxide dismutase, catalase,
 glutathione peroxidase) in the aqueous humour of the eyes, but the reporting on these measurements was unclear
 and incomplete.]

666 In the second study, Hässig et al. (2012) assessed nuclear cataracts in the progeny of cows from a 667 single farm in Switzerland that spent their pregnancy in areas with continuous exposure from a nearby 1800 668 MHz base station. The maximum measured field strength was 0.17 V/m in the stable and 0.5 V/m in the yard. The authors calculated an increased incidence of severe nuclear cataracts (OR=3.51, 95% CI: 1.36-9.46) over 669 the period of May 2004 through June 2009 when compared to the average incidence of cataract in Swiss calves. 670 The base station started operating in 1999 and was decommissioned in the middle of 2006. Although according 671 to the owner of the farm the number of cataracts increased some 12 months after the start of operation of the base 672 stations and declined some 12 months after its decommission, no attempt was made to compare periods of 673 exposure and non-exposure. The authors do note that hereditary effects may have played a role, since 55% of the 674 675 calves with cataract had a mother with cataract. [Since no correction for this was made in the calculation, the 676 analysis is not meaningful.]

677 Ye et al. (2001) locally exposed one of the eyes 10 New Zealand white rabbits for 3 h to 2.54 GHz at 678 either 50 or 100 W/m², with the contralateral eye serving as control. They removed the eyes directly after treatment and made a single cell suspension of the lens epithelial cells, but the method for this is not described. 679 They observed an increased number of apopototic cells relative to the control eyes after 50 W/m² (p<0.01) and 680 increased numbers of necrotic cells after 100 W/m^2 (p<0.05). [The numbers of apoptotic and necrotic cells in the 681 control eyes for the two groups differed considerably, indicating that the method of cell harvesting was not 682 683 stable. It cannot be ruled out that the procedure for cell harvesting augments and/or stabilizes sub-lethal damage 684 in the cells that might have been repaired if the cells would have remained in situ. So it is not possible to draw any conclusions from this study on possible harmful effects of the RF EMF on the lens.] 685

Inalöz et al. (1997) placed cages with 7 Wistar rats per group in front of a working microwave oven for 15 or 30 min daily for 1 month. They did not observe pathological damage to the lens, only small histological aberrations. [No information is provided how the SAR values, 3.9 W/kg for the 15 min exposures and 1.9 W/kg for the 30 min ones, were calculated. These values seem very high in view of the maximum allowed leakage of 10 W/m^2 at 5 cm from the oven, with which the used device was stated to comply. Because of this lack of proper dosimetric information this study cannot be used in the overall analysis.]

Balci et al. (2007) placed 900 MHz GSM phones over cages each housing 10 Wistar rats. The phones, on standby, were called intermittently (four times a day for 10 min) over a 4 week period. There was no RF dosimetry. The authors reported increased levels of malondialdehyde, an oxidation product of fatty acids, in the lens tissue of the exposed group (p<0.05). They suggested that this indicates that mobile phone radiation leads to oxidative stress. [The absence of proper dosimetry renders the results uninterpretable. The experimental protocol was only briefly described, leading to the supposition that uncontrolled factors may account for the effects seen.]

| Table 6.2.1. Animal studies on the lens | | | | | | |
|---|--|--|----------------|---------------------------------|--|--|
| Endpoint, animals, number per group, age at start | Exposure: source, schedule, level, freely moving or restrained, coexposure | Response | Comment | Reference | | |
| Cataract Rabbit : Japanese White (n=9) 10–12 weeks | 2.45 GHz 160–240 min Whole eye average SAR 26.5 W/kg Restrained, unanaesthetized | Severe acute thermal damage, resolved in 1 week; no cataract. | No statistics. | Saito, Saiga & Suzuki (1998) | | |
| Temperature, macroscopic damage Rabbit: Dutch (exposed: n=3-4; sham: n=6) 13-16 weeks Unexposed eye served as control | 2.54 GHz 60 min, 1 h interval, 60 min Whole eye average SAR 108 W/kg Restrained, with/without anaesthesia | Temporary inflammation of anterior segment, lens changes. Temperature increase with anesthesia 2–9°C higher than without. | | Kojima et al. (2004) | | |

| Slit-lamp biomicroscopy of lens Rabbit, age not provided (n=5) Juvenile Rhesus monkey (n=2) | 60 GHz 8 h; 4h/d, 5 d 10 mW/cm ² (100 W/m ²) Restrained, anaesthesia unknown | No changes in lens of rabbit and rhesus monkey. | Descriptive study, no quantitative data. | Kues et al. (1999) |
|--|---|---|--|---------------------------|
| Slit-lamp biomicroscopy of lens Rhesus monkey (n=5) 9–11 years | 2.54 GHz 4 h 43 mW/cm ² (430 W/m ²) | No changes in lens. | Replication of Kues et al. (1985) | Kamimura et al. (1994) |

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699 6.2.2.2 Effects on iris and cornea

On the basis of only very few studies, WHO (1993) concluded that exposure of the eyes of monkeys
 to pulsed or CW 2.54 GHz fields may lead to corneal damage and vascular leakage in the iris.

702 Kues et al. (1992), in a follow-up to their previous studies, further investigated vascular leakage of the 703 iris and corneal endothelial damage in rhesus and cynomolgus monkeys. They exposed the eyes to pulsed 2.45 704 GHz fields for 4 h per day during 3 days, with an SAR averaged over the eye of 0.052-3.9 W/kg. In addition, the effect of the opthalmologic drugs timolol maleate (which is used by people with glaucoma to lower the 705 intraocular pressure by reducing the production of aqueous humour) and pilocarpine was studied. Ocular damage 706 707 in the anaesthetized animals was observed with SARs of 2.6 W/kg and higher (p<0.001), but treatment with the 708 opthalmologic drugs lowered the threshold to an SAR of 0.26 W/kg (p<0.001). [The number of animals is not 709 reported, only the number of eyes (2-9 per group). It is unclear whether internal controls (unexposed eyes) were 710 used.]

711 In contrast to these studies, Kamimura et al. (1994), in a study already mentioned in section 6.3.3.1, 712 reported that they were unable to induce corneal or retinal lesions in the eyes of conscious rhesus monkeys 713 exposed for 4 h to CW 2.45 GHz radiation at a level (430 W/m², corresponding to a local SAR of ~11.3 W/kg) 714 exceeding the levels that resulted in corneal damage in the Kues et al. (1985) study. The technique used for the identification of corneal lesions (specular microscopy) was the same as that used by Kues et al. (1985), although 715 these authors used histological techniques to confirm damage to both the cornea and retina, in contrast to 716 717 Kamimura et al. (1994). However, Kamimura and colleagues note that the use of anaesthesia by Kues et al. may 718 have compromised heat dissipation in the eye (see above) increasing the susceptibility to RF heating.

Lu et al. (2010) performed a study aimed at replicating the studies by Kues et al. (1985; 1992). They exposed the eyes of 4 anaesthetized rhesus monkeys to a 2.8 GHz field, pulse modulated at 34 Hz, and studied the corneal endothelial cell density and corneal thickness. In a first experiment they exposed the eyes to RF only for 4 h per day, 3 days per week, during 3 weeks. In a second experiment, 3 or 7 RF exposures of 4 h were combined with the application of the opthalmologic drugs timolol maleate and xalatan. In both experiments the SAR in the cornea was 5.07 W/kg. Neither of these treatments resulted in any effect on the cornea.

Two studies have been published that investigated ocular effects of exposure to RF EMF in the higher GHz range. In a study already mentioned in section 6.3.3.1, Kues et al. (1999) exposed eyes of both juvenile rhesus monkeys and rabbits to 60 GHz. The animals were exposed either once during 8 h, or repeatedly during 5 days for 4 h per day. The exposure level was 100 W/m^2 at the cornea. No changes were observed in the cornea and iris of both rabbits and rhesus monkeys following these treatments.

Chalfin et al. (2002) exposed the eyes of rhesus monkeys to pulsed 35 or 94 GHz, using exposures of very high power densities of 20–40 kW/m² for up to 5.5 seconds or 80 kW/m² for 1 second. They observed no difference in effect between the various exposure schedules. The fluence, i.e. the energy delivered per unit area, was considered the relevant parameter, since the penetration of RF fields at such high frequencies is minimal. The thresholds for corneal lesions, defined as a 50% chance for a grade 2 lesion (the middle of a scale of 5), were 7.5 J/cm² (75 kJ/m²) for the 35 GHz exposure and 5 J/cm² (50 kJ/m²) for 94 GHz. No effect was observed on corneal cell density.

Chalfin et al. (2002) also note that the rabbit cornea is not a particularly good model for human
corneal damage, since it has significant differences from that of the primates. For example, the rabbit corneal
epithelium undergoes a greater degree of keratinization than that of humans (Prince, 1964). The average

thickness central in the cornea of the rabbit is 0.40 mm, while it is 0.50 mm in rhesus monkeys and 0.56 mm in humans. But the most important difference is, that the rabbit corneal endothelial cells can proliferate upon injury,

and thus have the capability of repair; primates lack this.

743 Study not included in the analysis

744 In a study already reported in the previous section, Balci et al. (2007) placed 900 MHz GSM phones 745 over cages each housing 10 Wistar rats. The phones, on standby, were called intermittently (four times a day for 746 10 min) over a 4 week period. There was no RF dosimetry. The authors reported increased levels of 747 malondialdehyde, an oxidation product of fatty acids, and reduced levels of superoxide dismutase, a radical 748 scavenger, in corneal tissue of the exposed group (p < 0.05). They suggested that this indicates that mobile phone 749 radiation leads to oxidative stress. [The absence of proper dosimetry renders the results uninterpretable. The 750 experimental protocol was only briefly described, leading to the supposition that uncontrolled factors may account for the effects seen.] 751

Demirel et al. (2012) exposed groups of 8-9 Wistar rats to 1.9–2.2 GHz fields generated by a tri-band mobile phone situated under the cage. The phone was operated daily for 20 min in 'listening' and and 20 min in 'speaking' mode for 20 days, and in standby the rest of the time. The authors investigated parameters indicative for oxidative stress in homogenized eyes (excluding the lens) and blood, and did not find any effects of exposure. [The actual exposure of the animals is unknown and therefore these results cannot be interpreted.]

| Table 6.2.2. Animal studies on iris and cornea | | | | | |
|--|---|---|--|---------------------------|--|
| Endpoint, animals, number per group, age at start | Exposure: source, schedule, level, freely moving or restrained, coexposure | Response | Comment | Reference | |
| Vascular leakage iris; macroscopic corneal endothelial damage Rhesus, Cynomolgus monkey (n=2–9 eyes) 4–18 year | 2.45 GHz, pulsed 4 h/day, 3 days Eye SAR 0.052, 0.26, 1.3, 2.6, 3.9 W/kg with/without timolol maleate, pilocarpine Restrained, anaesthetized | Threshold for ocular damage 2.6 W/kg; with opthalmologic drug treatment lowered to 0.26 W/kg. | Number of animals not reported, only number of eyes; unclear whether internal controls (unexposed eyes) were used. | Kues et al. (1992) | |
| Corneal endothelial cell density, corneal thickness Rhesus monkey (n=4) Adult | 2.8 GHz, 34 Hz pulsed Exp. 1: 4 h/day, 3 days/week, 3 weeks Exp.2: Timolol maleate + xalatan + 3 or 7x 4 h Cornea SAR 5.07 W/kg Restrained, anaesthetized | No effect on corneal endothelial cell density, corneal thickness. Studies of Kues et al. not confirmed. | Replication of Kues et al. (1992; 1997). | Lu et al. (2010) | |
| Slit-lamp biomicroscopy of cornea and iris; wide- field specular microscopy of corneal endothelium; iris angiography Rabbit, age not provided (n=5) Juvenile Rhesus monkey (n=2) | 60 GHz 8 h; 4h/day, 5 days 10 mW/cm² (100 W/m²) Restrained, anaesthesia unknown | No changes in eyes of rabbit and rhesus monkey. | Descriptive study, no quantitative data. | Kues et al. (1999) | |
| Slit-lamp biomicroscopy of cornea and iris Rhesus monkey (n=5) 9–11 v | 2.54 GHz 4 h 43 mW/cm² (430 W/m²) | No changes in cornea and iris. | Replication of Kues et al. (1997) | Kamimura et al. (1994) | |

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758 6.2.2.3 Effects on the retina and the whole eye

In the WHO (1993) report no studies on the effect of RF EMF on the retina or the whole eye are
 discussed. Only a few studies, performed in recent years, have been identified.

761 Lu et al. (2000) exposed or sham-exposed unanaesthetised rhesus monkeys to pulsed 1.25 GHz over a 762 3 week period at localised SARs averaged over the retina of 4.3, 8.4 or 20.2 W/kg. RF-induced changes in the 763 retina were examined using various measures of retinal integrity both before and after exposure, and complete 764 retinal histopathology following termination of the experiment. No significant changes were seen in the exposed 765 eyes compared to those pre- or sham-exposed either in the appearance of the retina or in the pattern of blood 766 vessels in the retina. The electroretinogram resulting from electrical fields generated by cone photoreceptors in response to light flashes was enhanced in monkeys exposed at retinal SARs of 8.4 or 20.2 W/kg (p<0.05), but 767 768 not in those exposed at 4.3 W/kg. The authors suggest that this effect is likely to represent a transient 769 physiological change, since histopathologic examination did not reveal any pathological changes.

770 Two studies in rats investigated parameters indicative for oxidative stress in the retina or the whole 771 eve. Ozguner et al. (2006) exposed Sprague Dawley rats for 30 min per day during 60 days to a 900 MHz mobile phone signal to the head, with an SAR of 4 W/kg. Separate groups of 10 rats were given the antioxidants 772 773 melatonin or caffeic acid phenethyl ester (CAPE) directly before each exposure. After the last exposure the 774 retinas were removed and the levels of oxidative stress parameters measured. In the group only exposed to RF 775 EMF the levels of malondialdehyde and nitrous oxide (NO) were increased (both p<0.0001), indicating increased oxidative stress, and the levels of the antioxidants superoxide dismutase (p<0.001), catalase 776 (p<0.0001) and glutathione peroxidase (p<0.0005) were reduced. Administration of either melatonin or CAPE 777 prevented the increase in the levels of malondialdehyde and NO, with the exception of the NO level after CAPE 778 administration that also was reduced compared to that in the RF-only group, but still significantly higher than in 779 780 the sham controls (p<0.01). Melatonin and CAPE administration before RF exposure counteracted the decrease in the levels of the three antioxidants, where melatonin even increased the level of superoxide dismutase 781 782 significantly above that of the sham controls (p<0.001).

Jelodar et al. (2013) exposed groups of 8 Sprague Dawley rats to a simulated mobile phone base station signal for 4 h per day and 45 days at a power density similar to that measured at a distance of 17 m from a base station antenna: 6.789 W/m², which can be calculated to correspond to a whole-body SAR of approximately 0.01 W/kg. One group received RF exposure only, and one group was administered vitamin C before each exposure as an antioxidant. RF EMF exposure decreased superoxide dismutase, glutathione peroxidase and catalase in the eye, and increased malondialdehyde (all p<0.05). This indicates an increased oxidative stress. Treatment with vitamin C prevented the changes of the biomarkers for oxidative stress.

790 Studies not included in the analysis

Zareen, Khan and Minhas (2009) investigated the effect of exposure of unhatched chicken to a mobile 791 792 phone signal on the retina. Eggs were exposed by placing an 1800 MHz GSM phone within 16.5 cm from the 793 eggs. The phone was called for 2 x 15 min per day during either 10 or 15 days. In the animals exposed for 10 794 days, retinal epithelial pigmentation was lower than in controls (p<0.001), while after 15 days exposure it was 795 higher (p<0.001). In the 15-day group also the epithelial thickness was higher (p<0.01) while in the 10-day 796 group it was less then in the controls (p < 0.05). [It is not clear what the exposure level was in this study, therefore 797 it cannot be interpreted. Moreover, the initial group size is stated to be 30 eggs, but in the analysis for epithelial 798 pigmentation group size varies from 22 to 29. No explanation or correction is given for the missing eggs.]

| Table 6.2.3. Animal studies on retina and whole eye | | | | | | |
|--|---|---|---|--|--|--|
| Endpoint, animals, number per group, age at start | Exposure: source, schedule, level, freely moving or restrained, coexposure) ^b | Response | Comment | Reference | | |
| Monkeys | | | | | | |
| Fundus photographs, angiography, electroretinograms, histopathology Rhesus monkey (n=17 monkeys; 3–10 eyes/group) 4.0–9.5 years | Radar: 1.25 GHz, pulsed at 0, 0.59, 1.18, 2.79 Hz 4 h/d, 3 d/week, 3 weeks Retina SAR 0, 4.3, 8.4, 20.2 W/kg Restrained, unanaesthetized | Small physiological changes (enhanced electroretinogram), no damage. Kues studies not confirmed, perhaps there effect of ketamine anaesthesia. | Replication of unpublished studies by Kues et al. | Lu et al. (2000) | | |
| Rodents | | | | | | |
| Oxidative stress enzymes in retina Rat: Sprague Dawley (n=10) 8 weeks | 900 MHz mobile phone 30 min/day, 60 days Head average SAR 4 W/kg with/without melatonin or caffeic acid phenethyl ester (CAPE) Restrained | Malondialdehyde, nitrous oxide increased, superoxide dismutase, catalase, glutathione peroxidase reduced. No or reduced effect of RF EMF in combination withmelatonin or CAPE. | | Ozguner, Bardak & Comlekci (2006) | | |
| Oxidative stress enzymes in whole eye Rat: Sprague Dawley (n=8) | Signal generator simulating 900 MHz base station signal 4 h/day, 45 days 0.6789 mW/cm ² (6.789 W/m ²); whole body SAR about 0.01 W/kg With/without vitamin C Free | Decreased superoxide dismutase, glutathione peroxidase, catalase, increased malondialdehyde. No effect of RF EMF in combination with vitamin C. | | Jelodar, Akbari & Nazifi, (2013) | | |

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800 6.2.3 In vitro studies

801 Lens epithelial cell cultures exposed to an intermittent (5 min on/10 min off cycles) 1800 MHz GSM signal (SAR = 1, 2, 3, 4 W/kg) for 2 hours were used by Yao et al. (2008a) to evaluate the induction of genotoxic 802 effects and oxidative stress. Moreover, RF was also superposed with 2 µT magnetic noise (30-90 Hz magnetic 803 fields in Helmholtz coils) for 2 hours. In three independent experiments, a significant increase in single strand 804 breaks was observed at SARs of 3 and 4 W/kg (p<0.001), while no differences were detected on double strand 805 breaks, as assessed by gamma-H2A histone family, member X (y-H2AX) foci formation. As positive controls, 806 the cells were treated with 4-nitroquinoline-1-oxide, an inducer of foci, which resulted in positive findings. 807 Reactive oxygen species (ROS) also increased after exposure at 2, 3 and 4 W/kg (p<0.05), as assessed by 808 809 applying cytofluorimetric techniques. When using superposed electromagnetic noise, no DNA damage and ROS formation were observed. [This study has been also described in sections 12.3.1 (Genotoxicity) and 12.3.5 810 811 (Oxidative stress).]

Ni et al. (2013) investigated the induction of oxidative stress in human lens epithelial B3 (HLE-B3) 812 cells intermittently exposed (5 min on/10 min off cycles) to 1800 MHz RF EMF, GSM signal (average SAR = 2, 813 3 or 4 W/kg). The levels of ROS were measured with the 2',7'-dichlorofluorescein (DCFH-DA) assay in cells 814 exposed for 0.5, 1 and 1.5 h. Lipid peroxidation was detected by a malondialdehyde (MDA, a member of a 815 family of end products of lipid peroxidation) test in cells exposed for 6, 12 and 24 h. The mRNA expression of 816 superoxide dismutases (SOD1, SOD2), catalase (CAT) and glutathione peroxidase (GPx1)genes and the 817 expression of SOD1, SOD2, CAT and GPx1 proteins was measured by quantitative reverse transcriptase-818 polymerase chain reaction (qRT-PCR) and Western blot assays in the cells exposed for 1 h. For all the 819 820 experimental conditions tested, in the RF exposed cultures ROS and MDA levels increased (p<0.05) and mRNA and protein expression significantly decreased (p < 0.05) in comparison to sham-exposed cells; cell viability was 821 also decreased (p < 0.05). Three independent experiments for each exposure condition and endpoint were 822 823 performed. Positive controls have not been included in the study design. [This study has also been described in 824 Section 12.3.5 (Oxidative stress).]

825 Studies not included in the analysis

826 Li et al. (2007) exposed human lens epithelial cells (HLEC) to 1800 MHz GSM-modulated RF EMF 827 for 2 h at SARs of 1–3.5 W/kg. Immediately after exposure, proteins were extracted and analyzed by 2D gel 828 electrophoresis (3 independent experiments). The authors observed that four protein spots were up-regulated by 829 more than 3-fold after exposure to 3.5 W/kg and 2-fold after exposure to 2.0 W/kg (no p-values reported). No proteins demonstrated altered expression after a 1 W/kg RF exposure. The authors used mass spectroscopy to 830 identify these spots as stress-related proteins, namely HSP70 and ribonucleoporin K. However, differential 831 expression of these proteins was not confirmed by Western blot analysis. [It is unclear whether differential 832 833 expression was determined by fold-changes or statistical analysis. In this study, also reported in Section 12.3.3 834 (Gene and protein expression), positive controls have not been included.]

835 Lixia et al. (2006) also exposed human lens epithelial cells (HLEC) to 1800 MHz GSM-modulated RF EMF, at SARs of 1-3 W/kg for 2 h. Compared to sham-exposed cells, no differences were detected in cell 836 proliferation rate, measured immediately and 1 and 4 days after exposure (see Section 12.3.6). DNA strand 837 breaks and their repair were measured immediately after 2 h RF exposure and at incubation times of 30, 60, 120 838 and 240 min post-exposure, respectively. The comet assay revealed no differences in strand breaks at 1 and 2 839 W/kg, while a significant increase was observed at 3 W/kg immediately after exposure and after 30 min 840 841 incubation (p < 0.05). No effect of the RF exposure was observed on DNA repair rate (see Section 12.3.1). 842 Increased HSP70 protein expression was detected at higher doses (p < 0.05), but no corresponding change was 843 observed in mRNA expression (see Section 12.3.3). [In this study, the number of independent experiments is not 844 reported, although statistical analysis was performed.]

In a study by Yao and co-workers (Yao et al., 2004), cultured rabbit lens epithelial cells (RLEC) were 845 exposed to continuous RF EMF at 2450 MHz and power densities of 1.0, 2.5, 5.0, 10.0 and 20 W/m² for up to 8 846 847 h. Cell cycle progression was not affected in cultures exposed to power densities lower than 5.0 W/m^2 , while 848 cultures exposed to 5.0, 10 and 20 W/m² were arrested in the G0/G1 phase of the cell cycle when compared to 849 sham-exposed cultures (p < 0.01) (see Section 12.3.6). Moreover, the expression of two genes involved in the cell 850 cycle, P21WAF1 and P27Kip1, was evaluated using Western blot analysis. Increased expression of P27Kip1 protein was detected in cultures exposed to 20 W/m² for 4, 6 and 8 h. This latter finding was not confirmed by 851 RT-PCR analysis (see Section 12.3.3). [The results of this study cannot be interpreted since no dosimetric 852 853 evaluation was performed.]

| Cell type Number of independent experiments | Biological endpoint | Exposure conditions | Results | Comment | Reference | | | |
|--|---------------------------------------|--|---|---|-----------------------|--|--|--|
| Lens epithelial cells n=3 | Strand breaks, γ- H2AX foci ROS | 1800 MHz, GSM Average SAR = 1, 2, 3, 4 W/kg 2 h (5 min on/10 min off cycles) Magnetic field noise superposition (2 μT, 30-90 Hz) | Increased single strand breaks at 3 and 4 W/kg. No effects on double strand breaks (γ-H2AX foci). Increased ROS production at 2, 3 and 4 W/kg. No increase in strand breaks and ROS with magnetic | For Genotoxicity and oxidative stress see Sections 12.3.1 and 12.3.5. No information on blinding of staff. | Yao et al. (2008a) | | | |

Table 6.2.4. In vitro studies assessing effects of RF EMF exposure on ocular function

| Human lens epithelial (HLE- B3) cells n=3 | ROS (DCFH-DA assay) Lipid peroxidation (MDA test) Gene and protein expression of SOD1, SOD2, CAT and GPx1 | 1800 MHz, GSM Average SAR 2, 3, 4 W/kg 0.5, 1, 1.5 h (ROS) 6, 12, 24 h (lipid peroxidation) 1 h (gene and protein expression) | Increase of ROS and lipid peroxidation. Decrease of gene and protein expression. | Decreased cell viability. For oxidative stress see Section 12.3.5 No information on blinding of staff. | Ni et al. (2013) |
|--|--|--|---|---|------------------|
| | | (5 min on/10 min off cycles) | | | |

"No effect" means no statistically significant effect.

Abbreviations: CAT: catalase; DCFH-DA: 2',7'-dichlorofluorescein; GSM: Global System for Mobile Communication; GPx: glutathione peroxidase; Gamma H2AX: H2A histone family, member X; MDA: malondialdehyde; ROS: reactive oxygen species; SAR: specific absorption rate; SOD: superoxide-dismutase

855 Excluded references

856 (Dovrat et al., 2005), (Zhou et al., 2008), (Yao et al., 2008b).

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